

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Akihiko SUGIKAWA, et al.

SERIAL NO: NEW APPLICATION

GAU:

FILED: HEREWITH

EXAMINER:

FOR: INFORMATION EXCHANGE METHOD AND CASH REGISTER APPARATUS



INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Marvin J. Spivak
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C. Irvin McClelland
Registration Number 21,124

Docket No. 213133US2SRD

#2⁰.J.
IDS 1-20-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Akihiko SUGIKAWA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: INFORMATION EXCHANGE METHOD AND CASH REGISTER APPARATUS

J1002 U.S. PTO
09/932873
08/21/01

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

| FOR | NUMBER FILED | NUMBER EXTRA | RATE | CALCULATIONS |
|--|-----------------|-----------------|-----------|--------------|
| TOTAL CLAIMS | 14 - 20 = | 0 | × \$18 = | \$0.00 |
| INDEPENDENT CLAIMS | 5 - 3 = | 2 | × \$80 = | \$160.00 |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable) | | | + \$270 = | \$0.00 |
| <input type="checkbox"/> LATE FILING OF DECLARATION | | | + \$130 = | \$0.00 |
| BASIC FEE | | | | \$710.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | \$870.00 |
| <input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY | | | | \$0.00 |
| <input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE | | | + \$130 = | \$0.00 |
| <input checked="" type="checkbox"/> RECORDATION OF ASSIGNMENT | | | + \$40 = | \$40.00 |
| TOTAL | | | | \$910.00 |

- ☐ Please charge Deposit Account No. 15-0030 in the amount of _____ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$910.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Date: 8/21/01



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C. Irvin McClelland
Registration Number 21,124



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(OSMMN 10/00)

LIST OF RELATED CASES

| <u>Docket Number</u> | <u>Serial or Patent No.</u> | <u>Filing or Issue Date</u> | <u>Status or Patentee</u> |
|----------------------|---------------------------------|---------------------------------|-------------------------------|
| PER CLIENT | 5,771,352 | 06/23/98 | NAKAMURA et al. |

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| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 213133US2SRD | | SERIAL NO. NEW APPLICATION | |
| LIST OF REFERENCES CITED BY APPLICANT | | | | APPLICANT Akihiko SUGIKAWA, et al. | | | |
| | | | | FILING DATE HEREWITH | | GROUP | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | AA | 5,771,352 | 06/23/98 | Makoto NAKAMURA, et al. | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | |
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| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
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| Examiner | | | | | Date Considered | | |
| <small>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small> | | | | | | | |

 1002 U.S. PTO
 09/932873
 06/21/01